

10-18-01

A

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
57622-049 (ELZK-9)Total Pages in this Submission  
26**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

METHOD OF AND SYSTEM FOR PROVIDING ADAPTIVE RESPONDENT TRAINING IN A SPEECH  
RECOGNITION APPLICATION

and invented by:

Nasreen Quibria, Lucas Merrow, Oleg Boulanov, John Kroecker

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 13 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
57622-049 (ELZK-9)

Total Pages in this Submission  
26

## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)

a. ☐ Formal      b. ☒ Informal      Number of Sheets 5

4. ☒ Oath or Declaration

a. ☐ Newly executed (original or copy)      ☒ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)

c. ☒ With Power of Attorney      ☐ Without Power of Attorney

d. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (usable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Computer Program in Microfiche

7. ☐ Genetic Sequence Submission (if applicable, all must be included)

a. ☐ Paper Copy

b. ☐ Computer Readable Copy

c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & documents)

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement/PTO-1449      ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class      ☒ Express Mail (Specify Label No.): EL721019925US

# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
57622-049 (ELZK-9)

Total Pages in this Submission  
26

## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Small Entity Statement(s) - Specify Number of Statements Submitted: \_\_\_\_\_
17. ☐ Additional Enclosures (please identify below):


## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	3	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	2	- 3 =	0	x \$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$370.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$370.00

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1133 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$370.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: October 16, 2001

  
Signature  
Scott A. Ouellette, Reg. No. 38,573

cc:

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: Nasreen Quibria et al.  
Serial Number: Not Yet Assigned  
Filing Date: Herewith  
Title: METHOD OF AND SYSTEM FOR PROVIDING ADAPTIVE RESPONDENT  
TRAINING IN A SPEECH RECOGNITION APPLICATION  
Docket Number: 57622-049 (ELZK-9)


**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

"Express Mail" Mailing Label Number EL721019925US

Date of Deposit: October 16, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Scott A. Ouellette  
(Person Mailing)

  
(Signature)

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

**TRANSMITTAL LETTER**


Transmitted herewith for filing are the following documents:

- ☒ Utility Patent Application Transmittal (Small Entity under 37 C.F.R. 1.27);
- ☒ Patent Application (13 Pages Specification, Claims and Abstract);
- ☒ Informal Drawings (5 pages);
- ☒ Declaration and Power of Attorney (3 pages - unexecuted); and
- ☒ Return Postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Acct. No. 50-1133.

Respectfully submitted,

Dated: October 16, 2001

  
Scott A. Ouellette, Reg. No. 38,573  
McDERMOTT, WILL & EMERY  
28 State Street  
Boston, MA 02109-1775  
617-535-4422 (Telephone)  
617-535-3800 (Facsimile)

EL721019925US